

Shareholding Pattern for the Quarter Ended on December 31, 2016

General Information about the Company

Scrip code	539268
Name of the company	Syngene International Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	-
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of share holders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	7	149031263			149031263	74.52	149031263					74.52	40000000	26.84			149031263		
(B)	Public	47439	46248242			46248242	23.12	46248242					23.12		0			46248122		
(C)	Non Promoter- Non Public	1	4720495			4720495	2.36	4720495					2.36	0	0	0	0	4720495		
(C1)	Shares underlying DRs	0	0			0	0	0					0		0			0		
(C2)	Shares held by Employee Trusts	1	4720495			4720495	2.36	4720495					2.36	0	0	0	0	4720495		
	TOTAL	47447	200000000			200000000	100	200000000					100	40000000	20			199999880		

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)	
								No. of Voting (XIV) Rights					Total % (A+B+C)	No. (a)	As a % of Total Shares held (b)	No. (a)		As a % of Total Shares held (b)
								Class X	Class Y	Total								
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
(1)	INDIAN																	
(a)	Individual/HUF	4	16198	0	0	16198	0.01	16198	0	16198	0.01	0	0.01	0	0	0	0	16198
	Kiran Mazumdar Shaw		7638	0	0	7638	0.00	7638	0	7638	0.00	0	0.00	0	0	0	0	7638
	Yamini R Mazumdar		4000	0	0	4000	0.00	4000	0	4000	0.00	0	0.00	0	0	0	0	4000
	Ravi R Mazumdar		1060	0	0	1060	0.00	1060	0	1060	0.00	0	0.00	0	0	0	0	1060
	Dev Mazumdar		3500	0	0	3500	0.00	3500	0	3500	0.00	0	0.00	0	0	0	0	3500
(d)	Any Other (Specify)	3	149015065	0	0	149015065	74.51	149015065	0	149015065	74.51	0	74.51	40000000	26.84	0	0	149015065
	Biocon Limited		145217843	0	0	145217843	72.61	145217843	0	145217843	72.61	0	72.61	40000000	27.54	0	0	145217843
	Biocon Research Limited		1866673	0	0	1866673	0.93	1866673	0	1866673	0.93	0	0.93	0	0	0	0	1866673
	Biocon Employee Welfare Trust (Trustee - Murali Krishnan Kn)		1930549	0	0	1930549	0.97	1930549	0	1930549	0.97	0	0.97	0	0	0	0	1930549
	Sub-Total (A)(1)	7	149031263	0	0	149031263	74.52	149031263	0	149031263	74.52	0	74.52	40000000	26.84	0	0	149031263
(2)	FOREIGN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding(A)= (A)(1)+(A)(2)	7	149031263	0	0	149031263	74.52	149031263	0	149031263	74.52	0	74.52	40000000	26.84	0	0	149031263

Details of Shares which remain unclaimed for Promoter & Promoter Group																
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER															
(1)	Institutions															
(a)	Mutual Funds	13	2005157		2005157	1	2005157		2005157	1			1	0	0.00	2005157
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investors															
(e)	Foreign Portfolio Investors	69	17887503		17887503	8.94	17887503		17887503	8.94			8.94	0	0.00	17887503
(f)	Financial Institutions/ Banks	4	133866		133866	0.07	133866		133866	0.07			0.07	0	0.00	133866
(g)	Insurance Companies															
(h)	Provident Funds/ Pension Funds															
(i)	Any Other (specify)	1	14390777		14390777	7.2	14390777		14390777	7.2			7.2	0	0.00	14390777
Sub-Total (B)(1)		87	34417303		34417303	17.21	34417303		34417303	17.21			17.21	0	0.00	34417303
(2)	Central Government/ State Government(s)/ President of India															
Sub-Total (B)(2)																
(3)	Non-institutions															
(a(i))	Individuals - i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.	45737	6766032		6766032	3.38	6766032		6766032	3.38			3.38	0	0.00	6765912
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	34	1451415		1451415	0.73	1451415		1451415	0.73			0.73	0	0.00	1451415
(b)	NBFCs registered with RBI	3	5586		5586	0	5586		5586	0			0	0	0.00	5586
(c)	Employee Trusts													0	0.00	
(d)	Overseas Depositories (holding DRs) (balancing figure)													0	0.00	
(e)	Any Other (specify)	1578	3607906		3607906	1.8	3607906		3607906	1.8			1.8	0	0.00	3607906
Sub-Total (B)(3)		45352	11830939		11830939	5.91	11830939		11830939	5.91			5.91	0	0.00	11830939
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		47439	46248242		46248242	23.12	46248242		46248242	23.12			23.12	0	0.00	46248122

Details of the shareholders acting as persons in Concert for Public															
Details of Shares which remain unclaimed for Public															
C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER- NON PUBLIC SHAREHOLDER														
(1)	Custodian/DR Holder - Name of DR Holders (If Available)														
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Syngene Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MS. DOLA MUKHERJEE	1	4720495		4720495	2.36	4720495	4720495	2.36			2.36	0	0.00	4720495
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	4720495		4720495		4720495	4720495	2.36				0	0	4720495
Total (A+B+C2)		47447	200000000		200000000	100.00	200000000	200000000	100.00			100.00	40000000	20.00	199999880
Total (A+B+C)		47447	200000000		200000000	100.00	200000000	200000000	100.00			100.00	40000000	20.00	199999880
Disclosure of notes on shareholding pattern															