

Shareholding Pattern for the Quarter Ended on March 31, 2018

General Information about the Company

Scrip code	539268
Name of the company	Syngene International Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	-
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total										
(A)	Promoter & Promoter Group	7	148890611			148890611	74.45	148890611					74.45	40000000	26.87	-	-	148890611		
(B)	Public	43407	48043425			48043425	24.02	48043425					24.02	-	0	-	-	48043315		
(C)	Non-Promoter-Non-Public	1	3065964			3065964	1.53	3065964					1.53	0	0	0	0	3065964		
(C1)	Shares underlying DRs	0	0			0	0	0					0	-	0	-	-	0		
(C2)	Shares held by Employee Trusts	1	3065964			3065964	1.53	3065964					1.53	0	0	0	0	3065964		
	TOTAL	43415	200000000			200000000	100	200000000					100	40000000	20			199999890		

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)	
								No. of Voting (XIV) Rights					Total % (A+B+C)	No.(a)	As a % of Total Shares held (b)	No.(a)		As a % of Total Shares held (b)
								Class X	Class Y	Total								
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
(1)	INDIAN																	
(a)	Individual/HUF	4	16198	0	0	16198	0.01	16198	0	16198	0.01	0	0.01	0	0	0	0	16198
	Kiran Mazumdar Shaw		7638	0	0	7638	0.00	7638	0	7638	0.00	0	0.00	0	0	0	0	7638
	Yamini R Mazumdar		4000	0	0	4000	0.00	4000	0	4000	0.00	0	0.00	0	0	0	0	4000
	Ravi R Mazumdar		1060	0	0	1060	0.00	1060	0	1060	0.00	0	0.00	0	0	0	0	1060
	Dev Mazumdar		3500	0	0	3500	0.00	3500	0	3500	0.00	0	0.00	0	0	0	0	3500
(d)	Any Other (Specify)	3	148874413	0	0	148874413	74.4	148874413	0	148874413	74.4	0	74.4	40000000	26.87	0	0	148874413
	Biocon Limited		145217843	0	0	145217843	72.61	145217843	0	145217843	72.61	0	72.61	40000000	26.87	0	0	145217843
	Biocon Research Limited		1866673	0	0	1866673	0.93	1866673	0	1866673	0.93	0	0.93	0	0	0	0	1866673
	Biocon Employee Welfare Trust (Trustee - Murali Krishnan KN and Amitava Saha)		1789897	0	0	1789897	0.89	1789897	0	1789897	0.89	0	0.89	0	0	0	0	1789897
	Sub-Total (A)(1)	7	148890611	0	0	148890611	74.45	148890611	0	148890611	74.45	0	74.45	40000000	26.87	0	0	148890611
(2)	FOREIGN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding(A)= (A)(1)+(A)(2)	7	148890611	0	0	148890611	74.45	148890611	0	148890611	74.45	0	74.45	40000000	26.87	0	0	148890611

B																		
TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																		
(1)	Institutions																	
(a)	Mutual Funds	8	4087732	0	0	4087732	2.04	4087732	0	4087732	2.04	0	2.04	0	0	0	0.00	4087732
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Alternate Investment Funds	3	809200	0	0	809200	0.40	809200	0	809200	0.40	0	0.40	0	0	0	0.00	809200
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e)	Foreign Portfolio Investors	101	31180170	0	0	31180170	15.59	31180170	0	31180170	15.59	0	15.59	0	0	0	0.00	31180170
(f)	Financial Institutions/ Banks	3	7669	0	0	7669	0.00	7669	0	7669	0.00	0	0.00	0	0	0	0.00	7669
(g)	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(i)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Sub-Total (B)(1)		115	36084771	0	0	36084771	18.04	36084771		36084771	18.04	0	18.04			0	0.00	36084771
(2)	Central Government/ State Government(s)/ President of India																	
Sub-Total (B)(2)																		
(3)	Non-institutions																	
(a(i))	Individuals - i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.	41993	6910899	0	0	6910899	3.46	6910899	0	6910899	3.46	0	3.46	0	0	0	0.00	6910789
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	54	2235787	0	0	2235787	1.12	2235787	0	2235787	1.12	0	1.12	0	0	0	0.00	2235787
(b)	NBFCs registered with RBI	2	227	0	0	227	0	2787	0	227	0	0	0	0	0	0	0.00	227
(c)	Employee Trusts	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (specify)	1243	2811741	0	0	2811741	1.41	2811741	0	2811741	1.41	0	1.41	0	0	0	0.00	2811741
Sub-Total (B)(3)		43292	11958654	0	0	11958654	5.98	11958654	0	0	5.98	0	5.98	0	0	0	0.00	11958654
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		43407	48043425	0	0	48043425	24.02	48043425	0	0	24.02	0	24.02	0	0	0	0.00	48043425

C																	
TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																	
(1)	Custodian/DR Holder - Name of DR Holders (If Available)																
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Syngene Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. DINESH IYER	1	3065964	0	0	3065964	1.53	3065964	3065964	1.53	0	0	0	1.53	0	0.00	3065964
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3065964	0	0	3065964	1.53	3065964	3065964	1.53	0	0	0	1.53	0	0.00	3065964
Total (A+B+C2)		43415	200000000	0	0	200000000	100.00	200000000	200000000	100.00	0	0	0	100.00	40000000	20.00	199999890
Total (A+B+C)		43415	200000000	0	0	200000000	100.00	200000000	200000000	100.00	0	0	0	100.00	40000000	20.00	199999890
Disclosure of notes on shareholding pattern																	

Name : Mayank Verma
Designation : Company Secretary & Compliance Officer
Place : Bengaluru
Date : April 12, 2018