

Shareholding Pattern for the Quarter Ended on September 30, 2017

General Information about the Company

Scrip code	539268
Name of the company	Syngene International Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	-
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of share holders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	7	149005789			149005789	74.50	149005789					74.50	40000000	26.84			149005789		
(B)	Public	50687	46745379			46745379	23.37	46745379					23.37		0			46745379		
(C)	Non Promoter- Non Public	1	4248832			4248832	2.12	4248832					2.12	0	0	0	0	4248832		
(C1)	Shares underlying DRs	0	0			0	0	0					0		0			0		
(C2)	Shares held by Employee Trusts	1	4248832			4248832	2.12	4248832					2.12	0	0	0	0	4248832		
	TOTAL	50695	200000000			200000000	100	200000000					100	40000000	20			199999890		

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)	
								No. of Voting (XIV) Rights					Total % (A+B+C)	No.(a)	As a % of Total Shares held (b)	No.(a)		As a % of Total Shares held (b)
								Class X	Class Y	Total								
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
(1)	INDIAN																	
(a)	Individual/HUF	4	16198	0	0	16198	0.01	16198	0	16198	0.01	0	0.01	0	0	0	0	16198
	Kiran Mazumdar Shaw		7638	0	0	7638	0.00	7638	0	7638	0.00	0	0.00	0	0	0	0	7638
	Yamini R Mazumdar		4000	0	0	4000	0.00	4000	0	4000	0.00	0	0.00	0	0	0	0	4000
	Ravi R Mazumdar		1060	0	0	1060	0.00	1060	0	1060	0.00	0	0.00	0	0	0	0	1060
	Dev Mazumdar		3500	0	0	3500	0.00	3500	0	3500	0.00	0	0.00	0	0	0	0	3500
(d)	Any Other (Specify)	3	148989591	0	0	148989591	74.49	148989591	0	148989591	74.49	0	74.49	40000000	26.84	0	0	148989591
	Biocon Limited		145217843	0	0	145217843	72.61	145217843	0	145217843	72.61	0	72.61	40000000	27.54	0	0	145217843
	Biocon Research Limited		1866673	0	0	1866673	0.93	1866673	0	1866673	0.93	0	0.93	0	0	0	0	1866673
	Biocon Employee Welfare Trust (Trustee - Murali Krishnan KN and Amitava Saha)		1905075	0	0	1905075	0.95	1905075	0	1905075	0.95	0	0.95	0	0	0	0	1905075
	Sub-Total (A)(1)	7	149029121	0	0	149029121	74.51	149029121	0	149029121	74.51	0	74.51	40000000	26.84	0	0	149029121
(2)	FOREIGN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding(A)= (A)(1)+(A)(2)	7	149005789	0	0	149005789	74.50	149005789	0	149005789	74.50	0	74.50	40000000	26.84	0	0	149005789

Details of Shares which remain unclaimed for Promoter & Promoter Group																	
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																
(1)	Institutions																
(a)	Mutual Funds	10	2874400			2874400	1.44	2874400		2874400	1.44			1.44	0	0.00	2874400
(b)	Venture Capital Funds																
(c)	Alternate Investment Funds																
(d)	Foreign Venture Capital Investors																
(e)	Foreign Portfolio Investors	80	31817463			31817463	15.91	31817463		31817463	15.91			15.91	0	0.00	31817463
(f)	Financial Institutions/ Banks	4	328709			328709	0.16	328709		328709	0.16			0.16	0	0.00	328709
(g)	Insurance Companies																
(h)	Provident Funds/ Pension Funds																
(i)	Any Other (specify)																
Sub-Total (B)(1)		94	35020572			35020572	17.51	35020572		35020572	17.51			17.51	0	0.00	35020572
(2)	Central Government/ State Government(s)/ President of India																
Sub-Total (B)(2)																	
(3)	Non-institutions																
(a(i))	Individuals - i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.	49003	7434552			7434552	3.72	7434552		7434552	3.72			3.72	0	0.00	7434442
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	37	1344616			1344616	0.67	1344616		1344616	0.67			0.67	0	0.00	1344616
(b)	NBFCs registered with RBI	5	714			714	0	714		714	0			0	0	0.00	714
(c)	Employee Trusts																
(d)	Overseas Depositories (holding DRs) (balancing figure)																
(e)	Any Other (specify)	1548	2944925			2944925	1.47	2944925		2944925	1.47			1.47	0	0.00	2944925
Sub-Total (B)(3)		50593	11724807			11724807	5.86	11724807		11724807	5.86			5.86	0	0.00	11724807
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		50687	46745389			46745389	23.37	46745389		46745389	23.37			23.37	0	0.00	46745389

Details of the shareholders acting as persons in Concert for Public																
Details of Shares which remain unclaimed for Public																
C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER- NON PUBLIC SHAREHOLDER															
(1)	Custodian/DR Holder - Name of DR Holders (If Available)															
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014) Syngene Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MS. DOLA MUKHERJEE	1	4248832		4248832	2.12	4248832		4248832	2.12			2.12	0	0.00	4248832
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	4248832		4248832	2.12	4248832		4248832	2.12			2.12	0	0.00	4248832
Total (A+B+C2)		50695	200000000		200000000	100.00	200000000		200000000	100.00			100.00	40000000	20.00	199999890
Total (A+B+C)		50695	200000000		200000000	100.00	200000000		200000000	100.00			100.00	40000000	20.00	199999890
Disclosure of notes on shareholding pattern																

Name : Mayank Verma
Designation : Company Secretary & Compliance Officer
Place : Bengaluru
Date : October 11, 2017